

Forcing with model-theoretic trees

James Hanson

University of Maryland

October 24, 2023

University of Maryland Logic Seminar

The tree property in model theory

A formula $\varphi(x, y)$ has the *k-tree property* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

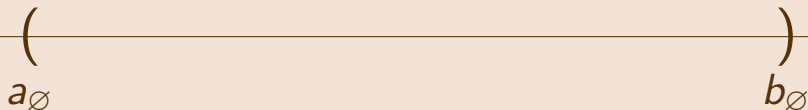
- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- siblings are *k*-inconsistent: $\{\varphi(x, c_{\sigma \smallfrown n}) : n < \omega\}$.

The tree property in model theory

A formula $\varphi(x, y)$ has the *k-tree property* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- siblings are *k*-inconsistent: $\{\varphi(x, c_{\sigma \smallfrown n}) : n < \omega\}$.

Example $\varphi(x, a, b) = (a < x < b)$ with $c = ab$ in $(\mathbb{Q}, <)$:

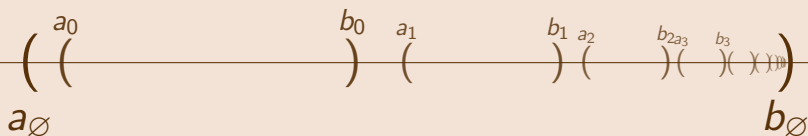


The tree property in model theory

A formula $\varphi(x, y)$ has the *k-tree property* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- siblings are *k*-inconsistent: $\{\varphi(x, c_{\sigma \smallfrown n}) : n < \omega\}$.

Example $\varphi(x, a, b) = (a < x < b)$ with $c = ab$ in $(\mathbb{Q}, <)$:

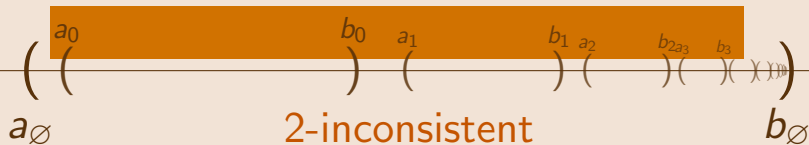


The tree property in model theory

A formula $\varphi(x, y)$ has the *k-tree property* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- siblings are *k*-inconsistent: $\{\varphi(x, c_{\sigma \smallfrown n}) : n < \omega\}$.

Example $\varphi(x, a, b) = (a < x < b)$ with $c = ab$ in $(\mathbb{Q}, <)$:

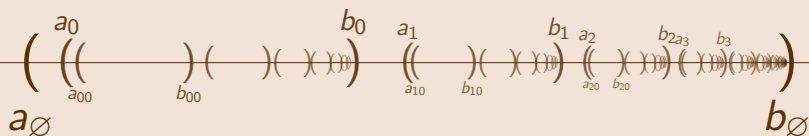


The tree property in model theory

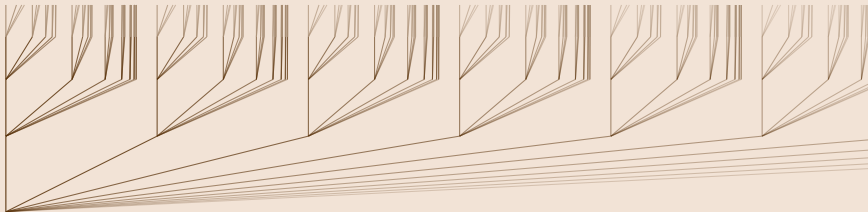
A formula $\varphi(x, y)$ has the *k-tree property* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- siblings are *k*-inconsistent: $\{\varphi(x, c_{\sigma \smallfrown n}) : n < \omega\}$.

Example $\varphi(x, a, b) = (a < x < b)$ with $c = ab$ in $(\mathbb{Q}, <)$:



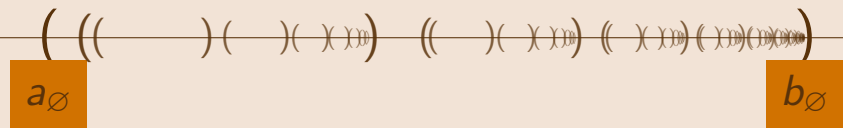
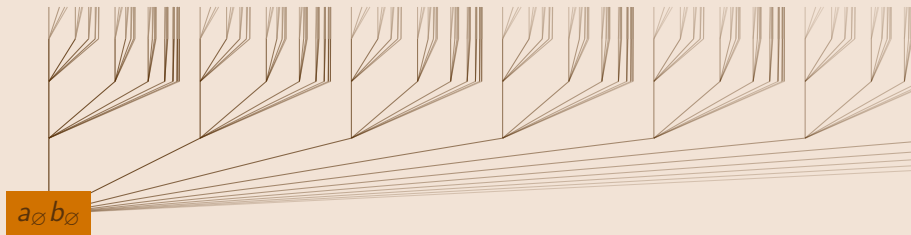
The tree in the tree property

 $\omega < \omega$


$$\left(\left(\left(\right) \left(\right) \left(\times \times \times \right) \right) \left(\left(\right) \left(\times \times \times \right) \right) \left(\left(\times \times \times \right) \left(\times \times \times \right) \left(\times \times \times \right) \right) \right)$$

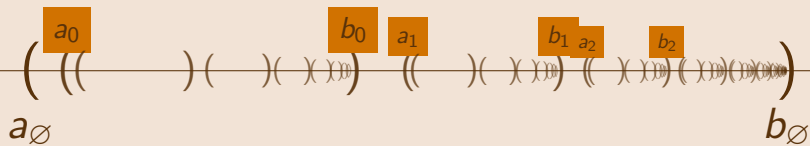
The tree in the tree property

$\omega < \omega$



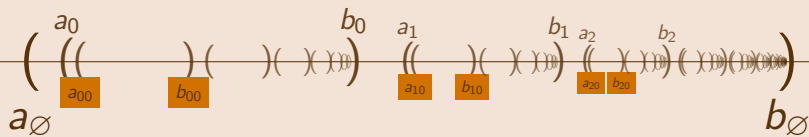
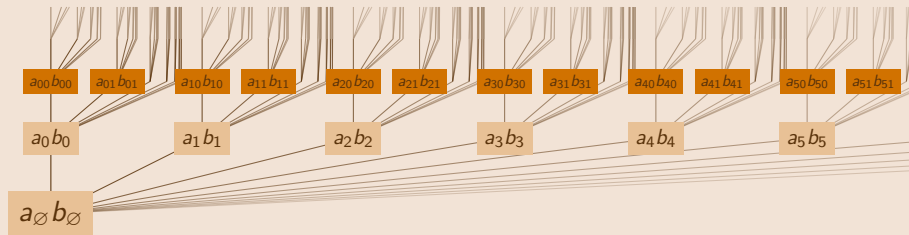
The tree in the tree property

$\omega < \omega$



The tree in the tree property

$\omega < \omega$

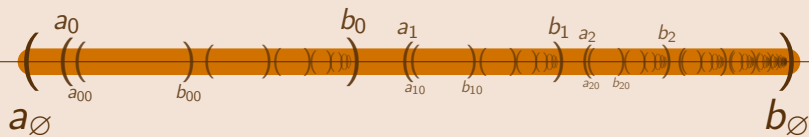


The tree in the tree property

$\omega < \omega$



Paths are consistent

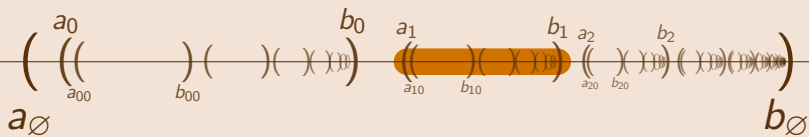


The tree in the tree property

$\omega < \omega$

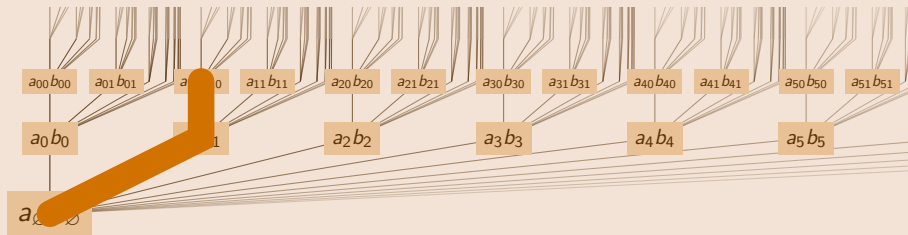


Paths are consistent

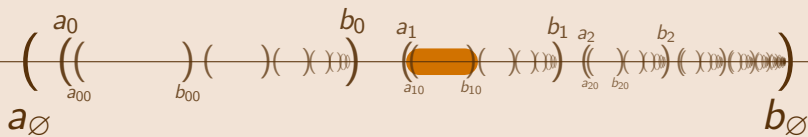


The tree in the tree property

$\omega < \omega$



Paths are consistent



The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind*

The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁*

The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,

The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any short-toothed right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is *k*-inconsistent.

The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any short-toothed right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is *k*-inconsistent.

Short-toothed right-combs are defined inductively:

The tree property of the first kind

(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any short-toothed right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is *k*-inconsistent.

Short-toothed right-combs are defined inductively:

- \emptyset is a short-toothed right-comb.

The tree property of the first kind

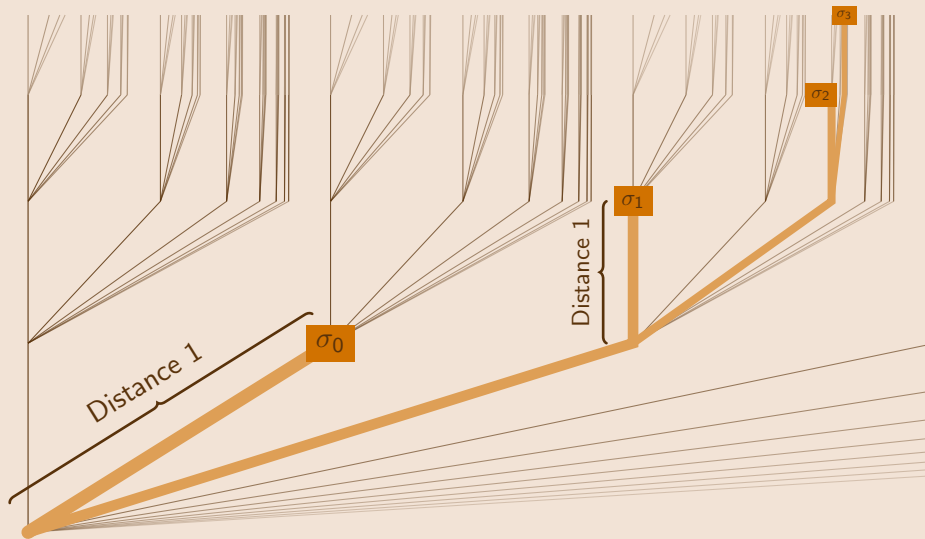
(Extremely revisionist definition) A formula $\varphi(x, c)$ has the *k-tree property of the first kind* or *k-SOP₁* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are consistent: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any short-toothed right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is *k*-inconsistent.

Short-toothed right-combs are defined inductively:

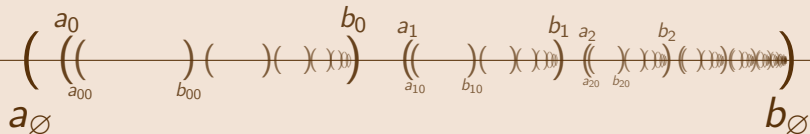
- \emptyset is a short-toothed right-comb.
- X is a short-toothed right-comb, every element of X extends $\sigma \frown j$, and $i < j$, then $X \cup \{\sigma \frown i\}$ is a short-toothed right-comb.

A short-toothed right-comb



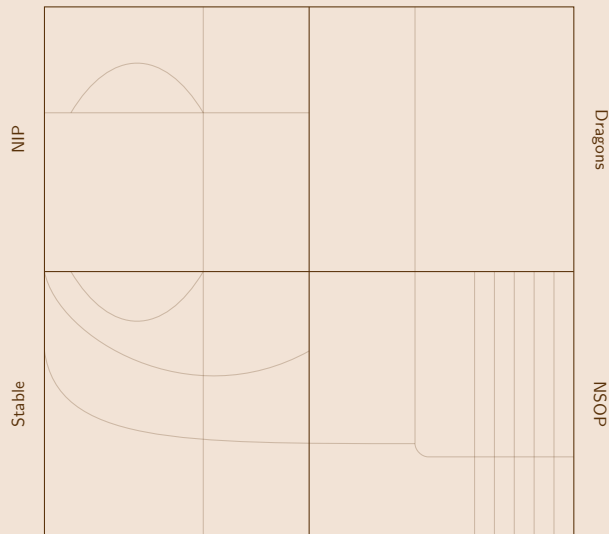
$(\mathbb{Q}, <)$ has 2-SOP_1

In our tree in $(\mathbb{Q}, <)$, any pair of incomparable elements are inconsistent.



Hence any short-toothed right-comb is 2-inconsistent.

Drawing a new line on Conant's map



Examples:

Drawing a new line on Conant's map



Examples:

Simple: Generic graph

Drawing a new line on Conant's map



Examples:

Simple: Generic graph

NSOP_1 : Generic binary function

Drawing a new line on Conant's map



Examples:

Simple: Generic graph

NSOP_1 : Generic binary function

NTP_2 : Generic linearly ordered graph

Drawing a new line on Conant's map



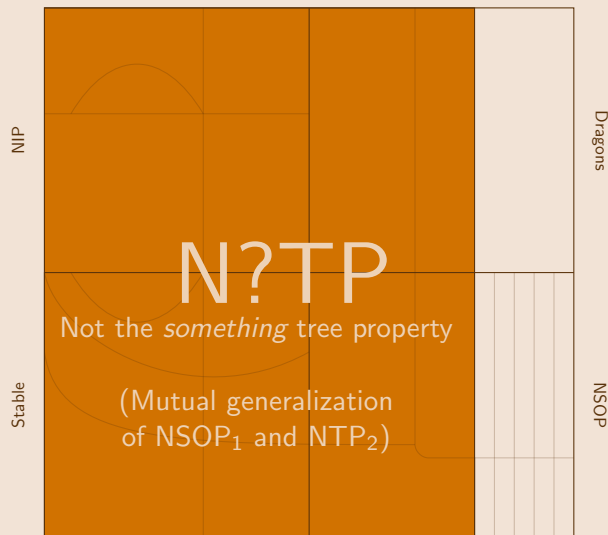
Examples:

Simple: Generic graph

NSOP₁: Generic binary function

NTP₂: Generic linearly ordered graph

Drawing a new line on Conant's map



Examples:

Simple: Generic graph

NSOP₁: Generic binary function

NTP₂: Generic linearly ordered graph

N?TP: Generic linear order + binary function

Coheirs

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to ‘generate’ a sequence of new elements (in the monster model).

Coheirs

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to ‘generate’ a sequence of new elements (in the monster model).

Example $(\mathbb{Q}, <)$ with ultrafilter concentrating at $+\infty$:

Coheirs

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to ‘generate’ a sequence of new elements (in the monster model).

Example $(\mathbb{Q}, <)$ with ultrafilter concentrating at $+\infty$:

Coheirs

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to 'generate' a sequence of new elements (in the monster model).

Example $(\mathbb{Q}, <)$ with ultrafilter concentrating at $+\infty$:

Coheirs

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to ‘generate’ a sequence of new elements (in the monster model).

Example $(\mathbb{Q}, <)$ with ultrafilter concentrating at $+\infty$:

- a_{i+1} is what \mathcal{U} ‘looks like’ to \mathbb{Q} and a_0, \dots, a_i .

Coheirs

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to ‘generate’ a sequence of new elements (in the monster model).

Example $(\mathbb{Q}, <)$ with ultrafilter concentrating at $+\infty$:

- a_{i+1} is what \mathcal{U} ‘looks like’ to \mathbb{Q} and a_0, \dots, a_i .
- a_{i+1} realizes \mathcal{U} over $\mathbb{Q} \cup \{a_0, \dots, a_i\}$.

Given a structure M we can use an ultrafilter \mathcal{U} on M (an M -coheir) to ‘generate’ a sequence of new elements (in the monster model).

Example $(\mathbb{Q}, <)$ with ultrafilter concentrating at $+\infty$:

- a_{i+1} is what \mathcal{U} ‘looks like’ to \mathbb{Q} and a_0, \dots, a_i .
- a_{i+1} realizes \mathcal{U} over $\mathbb{Q} \cup \{a_0, \dots, a_i\}$.
- a_0, a_1, \dots is the *Morley sequence* generated by \mathcal{U} .

SOP₁ in terms of coheirs

Definition

Given a coheir \mathcal{U} over a model M , a formula $\varphi(x, y)$ *k-divides along* \mathcal{U} if whenever b_0, b_1, \dots is a Morley sequence generated by \mathcal{U} , $\{\varphi(x, b_i) : i < \omega\}$ is *k-inconsistent*.

SOP₁ in terms of coheirs

Definition

Given a coheir \mathcal{U} over a model M , a formula $\varphi(x, y)$ *k-divides along* \mathcal{U} if whenever b_0, b_1, \dots is a Morley sequence generated by \mathcal{U} , $\{\varphi(x, b_i) : i < \omega\}$ is *k-inconsistent*.

Theorem (Kaplan, Ramsey)

T has SOP₁ if and only if there is a model M , two coheirs \mathcal{U} and \mathcal{V} (extending the same type), and a formula $\varphi(x, y)$ such that $\varphi(x, y)$ divides along \mathcal{U} but not along \mathcal{V} .

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

- $\mathcal{U}_{\text{pinch}}$ corresponding to two elements ‘pinching’ the cut (coming in from both sides).

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

- $\mathcal{U}_{\text{pinch}}$ corresponding to two elements ‘pinching’ the cut (coming in from both sides).
- $\mathcal{U}_{\text{below}}$ corresponding to two elements sliding towards the cut from below.

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

- $\mathcal{U}_{\text{pinch}}$ corresponding to two elements ‘pinching’ the cut (coming in from both sides).
- $\mathcal{U}_{\text{below}}$ corresponding to two elements sliding towards the cut from below.

The formula $(a < x < b)$ divides along $\mathcal{U}_{\text{below}}$ but not along $\mathcal{U}_{\text{pinch}}$.

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

- $\mathcal{U}_{\text{pinch}}$ corresponding to two elements ‘pinching’ the cut (coming in from both sides).
- $\mathcal{U}_{\text{below}}$ corresponding to two elements sliding towards the cut from below.

The formula $(a < x < b)$ divides along $\mathcal{U}_{\text{below}}$ but not along $\mathcal{U}_{\text{pinch}}$.

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

- $\mathcal{U}_{\text{pinch}}$ corresponding to two elements ‘pinching’ the cut (coming in from both sides).
- $\mathcal{U}_{\text{below}}$ corresponding to two elements sliding towards the cut from below.

The formula $(a < x < b)$ divides along $\mathcal{U}_{\text{below}}$ but not along $\mathcal{U}_{\text{pinch}}$.

$\mathcal{U}_{\text{below}}$ has a special property. The Morley sequence it generates

$\mathcal{U}_{\text{below}}$ has a special property. The Morley sequence it generates

is 'the same' as the Morley sequence generated by a different coheir backwards:

$\mathcal{U}_{\text{below}}$ has a special property. The Morley sequence it generates

is 'the same' as the Morley sequence generated by a different coheir backwards:

This is non-trivial. $\mathcal{U}_{\text{pinch}}$ *does not* have this property.

TP_2 in terms of heir-coheirs

Definition

\mathcal{U} is an *M-heir-coheir* if whenever b realizes \mathcal{U} over $M \cup A$, there is an *M-coheir* \mathcal{V} such that A realizes \mathcal{V} over $M \cup b$.

TP₂ in terms of heir-coheirs

Definition

\mathcal{U} is an *M-heir-coheir* if whenever b realizes \mathcal{U} over $M \cup A$, there is an *M-coheir* \mathcal{V} such that A realizes \mathcal{V} over $M \cup b$.

A formula $\varphi(x, b)$ *k-divides* over M if there is a sequence $(b_i)_{i < \omega}$ of realizations of the type of b over M such that $\{\varphi(x, b_i) : i < \omega\}$ is *k-inconsistent*.

TP₂ in terms of heir-coheirs

Definition

\mathcal{U} is an M -heir-coheir if whenever b realizes \mathcal{U} over $M \cup A$, there is an M -coheir \mathcal{V} such that A realizes \mathcal{V} over $M \cup b$.

A formula $\varphi(x, b)$ k -divides over M if there is a sequence $(b_i)_{i < \omega}$ of realizations of the type of b over M such that $\{\varphi(x, b_i) : i < \omega\}$ is k -inconsistent.

Theorem (Chernikov, Kaplan)

T has TP₂ if and only if there is a model M , a formula $\varphi(x, b)$, and an M -heir-coheir \mathcal{U} extending the type of b over M such that $\varphi(x, b)$ divides over M but does not divide along \mathcal{U} .

TP_2 in terms of heir-coheirs

Definition

\mathcal{U} is an M -heir-coheir if whenever b realizes \mathcal{U} over $M \cup A$, there is an M -coheir \mathcal{V} such that A realizes \mathcal{V} over $M \cup b$.

A formula $\varphi(x, b)$ k -divides over M if there is a sequence $(b_i)_{i < \omega}$ of realizations of the type of b over M such that $\{\varphi(x, b_i) : i < \omega\}$ is k -inconsistent.

Theorem (Chernikov, Kaplan)

T has TP_2 if and only if there is a model M , a formula $\varphi(x, b)$, and an M -heir-coheir \mathcal{U} extending the type of b over M such that $\varphi(x, b)$ divides over M but does not divide along \mathcal{U} .

DLO (theory of $(\mathbb{Q}, <)$) is NTP_2 .

N?TP via a new Kim's lemma?

Kruckman and Ramsey suggested formulating N?TP via a mutual generalization of the Kim's lemmas for NSOP_1 and NTP_2 .

N?TP via a new Kim's lemma?

Kruckman and Ramsey suggested formulating N?TP via a mutual generalization of the Kim's lemmas for NSOP₁ and NTP₂.

- NSOP₁: If $\varphi(x, b)$ divides along some coheir, then it divides along every coheir.

N?TP via a new Kim's lemma?

Kruckman and Ramsey suggested formulating N?TP via a mutual generalization of the Kim's lemmas for NSOP₁ and NTP₂.

- NSOP₁: If $\varphi(x, b)$ divides along some coheir, then it divides along every coheir.
- NTP₂: If $\varphi(x, b)$ divides, then it divides along every heir-coheir.

N?TP via a new Kim's lemma?

Kruckman and Ramsey suggested formulating N?TP via a mutual generalization of the Kim's lemmas for NSOP₁ and NTP₂.

- NSOP₁: If $\varphi(x, b)$ divides along some coheir, then it divides along every coheir.
- NTP₂: If $\varphi(x, b)$ divides, then it divides along every heir-coheir.

Lead them to the *bizarre tree property* or *BTP* (uses a weakening of heir-coheirdom).

N?TP via a new Kim's lemma?

Kruckman and Ramsey suggested formulating N?TP via a mutual generalization of the Kim's lemmas for NSOP₁ and NTP₂.

- NSOP₁: If $\varphi(x, b)$ divides along some coheir, then it divides along every coheir.
- NTP₂: If $\varphi(x, b)$ divides, then it divides along every heir-coheir.

Lead them to the *bizarre tree property* or *BTP* (uses a weakening of heir-coheirdom).

Their philosophy also suggests the following:

N?TP via a new Kim's lemma?

Kruckman and Ramsey suggested formulating N?TP via a mutual generalization of the Kim's lemmas for NSOP₁ and NTP₂.

- NSOP₁: If $\varphi(x, b)$ divides along some coheir, then it divides along every coheir.
- NTP₂: If $\varphi(x, b)$ divides, then it divides along every heir-coheir.

Lead them to the *bizarre tree property* or *BTP* (uses a weakening of heir-coheirdom).

Their philosophy also suggests the following:

- ? N?TP: If $\varphi(x, b)$ divides along some coheir, then it divides along every heir-coheir?

Combs

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are **k-inconsistent**: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are **k-inconsistent**: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is **consistent**.

(Note the switcheroo.)

Combs

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are **k-inconsistent**: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is **consistent**.

(Note the switcheroo.)

Right-combs are defined inductively:

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are **k-inconsistent**: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is **consistent**.

(Note the switcheroo.)

Right-combs are defined inductively:

- \emptyset is a right-comb.

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are **k-inconsistent**: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is **consistent**.

(Note the switcheroo.)

Right-combs are defined inductively:

- \emptyset is a right-comb.
- X is a right-comb, every element of X extends $\sigma \frown j$, and τ extends $\sigma \frown i$ for some $i < j$, then $X \cup \{\tau\}$ is a right-comb.

A formula $\varphi(x, c)$ has the *k-comb tree property* or *k-CTP* if there is a tree $(c_\sigma)_{\sigma \in \omega^{<\omega}}$ of parameters such that

- paths are **k-inconsistent**: $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$ for $\alpha \in \omega^\omega$,
- for any right-comb $X \subset \omega^{<\omega}$, $\{\varphi(x, c_\sigma) : \sigma \in X\}$ is **consistent**.

(Note the switcheroo.)

Right-combs are defined inductively:

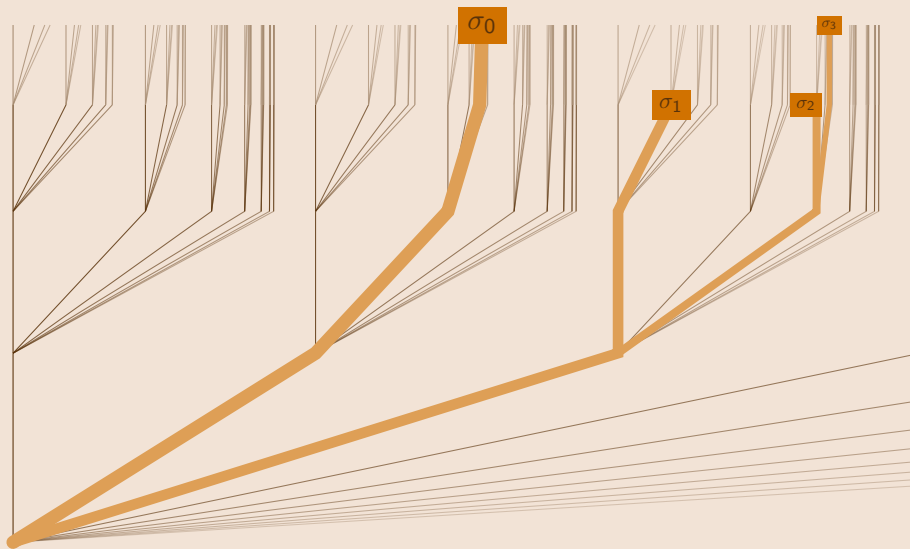
- \emptyset is a right-comb.
- X is a right-comb, every element of X extends $\sigma \frown j$, and τ extends $\sigma \frown i$ for some $i < j$, then $X \cup \{\tau\}$ is a right-comb.

Mutchnik established the following in his proof that $\text{NSOP}_1 = \text{NSOP}_2$.

Theorem (Mutchnik)

The above condition without the switcheroo is equivalent to SOP_1 .

A right-comb



Characterization of CTP

Theorem (H.)

A theory has k -CTP if and only if there is a model M , a formula $\varphi(x, b)$, and an M -heir-coheir \mathcal{U} and an M -coheir \mathcal{V} extending the type of b over M such that $\varphi(x, b)$ k -divides along \mathcal{V} but does not divide along \mathcal{U} .

Characterization of CTP

Theorem (H.)

A theory has k -CTP if and only if there is a model M , a formula $\varphi(x, b)$, and an M -heir-coheir \mathcal{U} and an M -coheir \mathcal{V} extending the type of b over M such that $\varphi(x, b)$ k -divides along \mathcal{V} but does not divide along \mathcal{U} .

The proof is entirely uniform in k , which leaves the following question.

Question

Does k -CTP imply 2-CTP?

Characterization of CTP

Theorem (H.)

A theory has k -CTP if and only if there is a model M , a formula $\varphi(x, b)$, and an M -heir-coheir \mathcal{U} and an M -coheir \mathcal{V} extending the type of b over M such that $\varphi(x, b)$ k -divides along \mathcal{V} but does not divide along \mathcal{U} .

The proof is entirely uniform in k , which leaves the following question.

Question

Does k -CTP imply 2-CTP?

We also have the following alphabetically frustrating implication:

$$\text{ATP} \Rightarrow \text{CTP} \Rightarrow \text{BTP}$$

where the *antichain tree property* or *ATP* is another candidate for ?TP, introduced by Ahn and Kim.

What's special about heir-coheirs?

If \mathcal{U} is an M -heir-coheir and B is some configuration of realizations of \mathcal{U} over M , then we can find a clone B' of B with the property that every element of B' realizes \mathcal{U} over $M \cup B$.

What's special about heir-coheirs?

If \mathcal{U} is an M -heir-coheir and B is some configuration of realizations of \mathcal{U} over M , then we can find a clone B' of B with the property that every element of B' realizes \mathcal{U} over $M \cup B$.

What's special about heir-coheirs?

If \mathcal{U} is an M -heir-coheir and B is some configuration of realizations of \mathcal{U} over M , then we can find a clone B' of B with the property that every element of B' realizes \mathcal{U} over $M \cup B$.

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

CTP from heir-coheir \mathcal{U} and coheir \mathcal{V}

Forcing

Whence do heir-coheirs come?

Finding coheirs over models is trivial, but finding heir-coheirs can be hard.

Whence do heir-coheirs come?

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over $(\mathbb{R}, <)$ for instance.

Whence do heir-coheirs come?

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over $(\mathbb{R}, <)$ for instance.

The standard approach is this:

Fact

If \mathcal{U} is a coheir over M and $N \succ M$ is a sufficiently saturated elementary extension, then \mathcal{U} is an heir-coheir over N .

Whence do heir-coheirs come?

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over $(\mathbb{R}, <)$ for instance.

The standard approach is this:

Fact

If \mathcal{U} is a coheir over M and $N \succ M$ is a sufficiently saturated elementary extension, then \mathcal{U} is an heir-coheir over N .

This is important for the development of NTP_2 but is seemingly incompatible with the way coheirs are used in NSOP_1 (delicately building two coheirs extending the same type).

Whence do heir-coheirs come?

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over $(\mathbb{R}, <)$ for instance.

The standard approach is this:

Fact

If \mathcal{U} is a coheir over M and $N \succ M$ is a sufficiently saturated elementary extension, then \mathcal{U} is an heir-coheir over N .

This is important for the development of NTP_2 but is seemingly incompatible with the way coheirs are used in NSOP_1 (delicately building two coheirs extending the same type).

There are many heir-coheirs over $(\mathbb{Q}, <)$ (any non-realized cut). Is this generalizable?

Miniaturizing the saturation argument

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

Miniaturizing the saturation argument

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

Miniaturizing the saturation argument

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

Proof sketch.

With a finite approximation $\psi(x)$ of the type we are building generically, look to see if there is a b in the monster such that $\psi(x) \wedge \varphi(x, b)$ has infinitely many realizations in M .

Miniaturizing the saturation argument

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

Proof sketch.

With a finite approximation $\psi(x)$ of the type we are building generically, look to see if there is a b in the monster such that $\psi(x) \wedge \varphi(x, b)$ has infinitely many realizations in M . Our little bit of saturation says that there's a $c \in M$ such that $\psi(x) \wedge \varphi(x, c)$ has infinitely many realizations in M . Commit to this as an approximation of our type.

Miniaturizing the saturation argument

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

Proof sketch.

With a finite approximation $\psi(x)$ of the type we are building generically, look to see if there is a b in the monster such that $\psi(x) \wedge \varphi(x, b)$ has infinitely many realizations in M . Our little bit of saturation says that there's a $c \in M$ such that $\psi(x) \wedge \varphi(x, c)$ has infinitely many realizations in M . Commit to this as an approximation of our type.

Argue that if \mathcal{U} extends the type we built and a realizes \mathcal{U} over Mb , then every formula in the type of b over Ma is already realized in M by construction. □

The miniaturized argument as a blueprint for CTP

That proof is a forcing argument: We have a set of conditions that we need to satisfy and we are free to satisfy them generically.

The miniaturized argument as a blueprint for CTP

That proof is a forcing argument: We have a set of conditions that we need to satisfy and we are free to satisfy them generically.

The comb tree property (even on $2^{<\omega}$ rather than $\omega^{<\omega}$) gives you precisely what you need to generically build an heir-coheir \mathcal{U} that is 'shadowed' by a coheir \mathcal{V} such that the given formula divides along \mathcal{V} but not along \mathcal{U} .

The fundamental theorem of forcing

Definition

A set $X \subseteq 2^{<\omega}$ is *dense above* σ if for every τ extending σ , there is a $\mu \in X$ extending τ . X is *somewhere dense* if it is dense above some σ .

The fundamental theorem of forcing

Definition

A set $X \subseteq 2^{<\omega}$ is *dense above* σ if for every τ extending σ , there is a $\mu \in X$ extending τ . X is *somewhere dense* if it is dense above some σ .

Fact

If $X \cup Y$ is dense above σ , then either X is dense above σ or there is a τ extending σ such that Y is dense above τ .

The fundamental theorem of forcing

Definition

A set $X \subseteq 2^{<\omega}$ is *dense above* σ if for every τ extending σ , there is a $\mu \in X$ extending τ . X is *somewhere dense* if it is dense above some σ .

Fact

If $X \cup Y$ is dense above σ , then either X is dense above σ or there is a τ extending σ such that Y is dense above τ .

Proof.

Assume X is not dense above σ , then there is a τ extending σ such that X contains no elements extending τ .

The fundamental theorem of forcing

Definition

A set $X \subseteq 2^{<\omega}$ is *dense above* σ if for every τ extending σ , there is a $\mu \in X$ extending τ . X is *somewhere dense* if it is dense above some σ .

Fact

If $X \cup Y$ is dense above σ , then either X is dense above σ or there is a τ extending σ such that Y is dense above τ .

Proof.

Assume X is not dense above σ , then there is a τ extending σ such that X contains no elements extending τ . But then since $X \cup Y$ is dense above σ , it is also dense above τ , whereby Y is dense above τ . \square

Forcing with comb trees I

Suppose we have a CTP tree $(b_\sigma)_{\sigma \in 2^{<\omega}}$ (for the formula $\varphi(x, y)$) in a mildly saturated countable model M .

Forcing with comb trees I

Suppose we have a CTP tree $(b_\sigma)_{\sigma \in 2^{<\omega}}$ (for the formula $\varphi(x, y)$) in a mildly saturated countable model M . We can generically build a path $(\sigma_i)_{i < \omega}$ of elements of $2^{<\omega}$ and a filter \mathcal{F} on the tree $b_{\in 2^{<\omega}}$ such that following hold:

Forcing with comb trees I

Suppose we have a CTP tree $(b_\sigma)_{\sigma \in 2^{<\omega}}$ (for the formula $\varphi(x, y)$) in a mildly saturated countable model M . We can generically build a path $(\sigma_i)_{i < \omega}$ of elements of $2^{<\omega}$ and a filter \mathcal{F} on the tree $b_{\in 2^{<\omega}}$ such that following hold:

- For each i , σ_{i+1} extends $\sigma_i \frown 1$.

Forcing with comb trees I

Suppose we have a CTP tree $(b_\sigma)_{\sigma \in 2^{<\omega}}$ (for the formula $\varphi(x, y)$) in a mildly saturated countable model M . We can generically build a path $(\sigma_i)_{i < \omega}$ of elements of $2^{<\omega}$ and a filter \mathcal{F} on the tree $b_{\in 2^{<\omega}}$ such that following hold:

- For each i , σ_{i+1} extends $\sigma_i \frown 1$.
- For each $X \in \mathcal{F}$, there is an i such that $\{b_\tau \in X : \tau \succeq \sigma_i\}$ is dense above σ_i and is in \mathcal{F} .

Forcing with comb trees I

Suppose we have a CTP tree $(b_\sigma)_{\sigma \in 2^{<\omega}}$ (for the formula $\varphi(x, y)$) in a mildly saturated countable model M . We can generically build a path $(\sigma_i)_{i < \omega}$ of elements of $2^{<\omega}$ and a filter \mathcal{F} on the tree $b_{\in 2^{<\omega}}$ such that following hold:

- For each i , σ_{i+1} extends $\sigma_i \frown 1$.
- For each $X \in \mathcal{F}$, there is an i such that $\{b_\tau \in X : \tau \succeq \sigma_i\}$ is dense above σ_i and is in \mathcal{F} .
- If $\psi(x, c)$ is an M -formula (with c in the monster) such that $\{b_\sigma : \psi(b_\sigma, c)\}$ has somewhere dense intersection with every element of \mathcal{F} , then there is a $d \in M$ such that $\{b_\sigma : \psi(b_\sigma, d)\} \in \mathcal{F}$.

Forcing with comb trees II

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter,

Forcing with comb trees II

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter \mathcal{U} whose elements are all somewhere dense.

Forcing with comb trees II

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter \mathcal{U} whose elements are all somewhere dense.

The third bullet point ensures that \mathcal{U} is in fact an heir-coheir

Forcing with comb trees II

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter \mathcal{U} whose elements are all somewhere dense.

The third bullet point ensures that \mathcal{U} is in fact an heir-coheir and the extra set added to \mathcal{F} ensures that $\varphi(x, y)$ does not divide along \mathcal{U} .

Forcing with comb trees II

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter \mathcal{U} whose elements are all somewhere dense.

The third bullet point ensures that \mathcal{U} is in fact an heir-coheir and the extra set added to \mathcal{F} ensures that $\varphi(x, y)$ does not divide along \mathcal{U} .

Finally, let \mathcal{V} be any non-principal ultrafilter on $\{b_{\sigma_i} : i < \omega\}$.

Forcing with comb trees II

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter \mathcal{U} whose elements are all somewhere dense.

The third bullet point ensures that \mathcal{U} is in fact an heir-coheir and the extra set added to \mathcal{F} ensures that $\varphi(x, y)$ does not divide along \mathcal{U} .

Finally, let \mathcal{V} be any non-principal ultrafilter on $\{b_{\sigma_i} : i < \omega\}$. By construction, $\varphi(x, y)$ will divide along \mathcal{V} .

Forcing with comb trees II

The second bullet point now ensures that

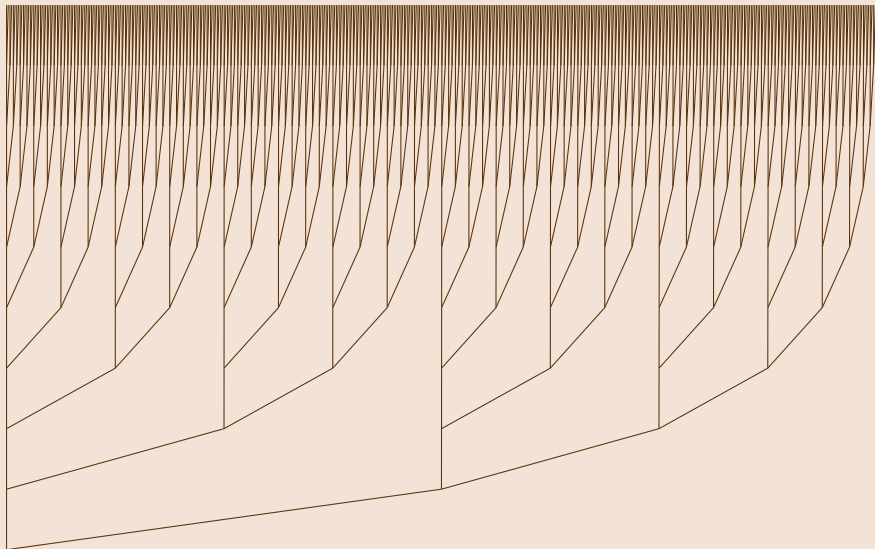
$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\text{cone above } \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter \mathcal{U} whose elements are all somewhere dense.

The third bullet point ensures that \mathcal{U} is in fact an heir-coheir and the extra set added to \mathcal{F} ensures that $\varphi(x, y)$ does not divide along \mathcal{U} .

Finally, let \mathcal{V} be any non-principal ultrafilter on $\{b_{\sigma_i} : i < \omega\}$. By construction, $\varphi(x, y)$ will divide along \mathcal{V} . Furthermore, the third bullet point will ensure that \mathcal{U} and \mathcal{V} extend the same type over M , so we have the required failure of Kim's lemma for coheirs and heir-coheirs.

Forcing with comb trees III



Thank you