Forcing with model-theoretic trees

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James Hanson (ISU)

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- A lot of these adjectives are defined in terms of combinatorial consistency patterns, often involving trees.
- One common endeavor in model theory is trying to find new adjectives with tractable structure theory. Example: NTP₂ is the 'least common generalization' of NIP and simplicity.



Examples:











- **•** paths are consistent: $\{\varphi(x, c_{\alpha \restriction n}) : n < \omega\}$ for $\alpha \in \omega^{\omega}$,
- siblings are *k*-inconsistent: $\{\varphi(x, c_{\sigma \frown n}) : n < \omega\}$.

The tree property in model theory

A formula $\varphi(x, y)$ has the *k*-tree property if there is a tree $(c_{\sigma})_{\sigma \in \omega^{<\omega}}$ of parameters such that

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Short-toothed right-combs are defined inductively:

- $\blacksquare \emptyset$ is a short-toothed right-comb.
- X is a short-toothed right-comb, every element of X extends $\sigma \frown j$, and i < j, then $X \cup \{\sigma \frown i\}$ is a short-toothed right-comb.

A short-toothed right-comb



In our tree in $(\mathbb{Q}, <)$, any pair of incomparable elements are inconsistent.



Hence any short-toothed right-comb is 2-inconsistent.

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Example (\mathbb{Q} , <) with ultrafilter concentrating at $+\infty$:

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a_{i+1} realizes U over Q ∪ {a₀,..., a_i}.

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- a_{i+1} is what \mathcal{U} 'looks like' to \mathbb{Q} and a_0, \ldots, a_i .
- a_{i+1} realizes \mathcal{U} over $\mathbb{Q} \cup \{a_0, \ldots, a_i\}$.
- a_0, a_1, \ldots is the Morley sequence generated by \mathcal{U} .

Given a coheir \mathcal{U} over a model M, a formula $\varphi(x, y)$ *k*-divides along \mathcal{U} if whenever b_0, b_1, \ldots is a Morley sequence generated by \mathcal{U} , $\{\varphi(x, b_i) : i < \omega\}$ is *k*-inconsistent.

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Theorem (Kaplan, Ramsey)

T has SOP₁ if and only if there is a model M, two coheirs \mathcal{U} and \mathcal{V} (extending the same type), and a formula $\varphi(x, y)$ such that $\varphi(x, y)$ divides along \mathcal{U} but not along \mathcal{V} .

Coheir witnesses of SOP_1 in $(\mathbb{Q}, <)$

Two non-trivial coheirs of the 2-type living in the cut at π over \mathbb{Q} :

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This is non-trivial. \mathcal{U}_{pinch} does not have this property.

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Forcing with model-theoretic trees

 \mathcal{U} is an *M*-heir-coheir if whenever *b* realizes \mathcal{U} over $M \cup A$, there is an *M*-coheir \mathcal{V} such that *A* realizes \mathcal{V} over $M \cup b$.

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A formula $\varphi(x, b)$ *k*-divides over *M* if there is a sequence $(b_i)_{i < \omega}$ of realizations of the type of *b* over *M* such that $\{\varphi(x, b_i) : i < \omega\}$ is *k*-inconsistent.

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Theorem (Chernikov, Kaplan)

T has TP₂ if and only if there is a model M, a formula $\varphi(x, b)$, and an M-heir-coheir \mathcal{U} extending the type of b over M such that $\varphi(x, b)$ divides over M but does not divide along \mathcal{U} .

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DLO (theory of $(\mathbb{Q}, <)$) is NTP₂.

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Mutchnik established the following in his proof that $NSOP_1 = NSOP_2$.

Theorem (Mutchnik)

The above condition without the switcheroo is equivalent to SOP_1 .

A right-comb



Theorem (H.)

A theory has k-CTP if and only if there is a model M, a formula $\varphi(x, b)$, and an M-heir-coheir \mathcal{U} and an M-coheir \mathcal{V} extending the type of b over M such that $\varphi(x, b)$ k-divides along \mathcal{V} but does not divide along \mathcal{U} .

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We also have the following alphabetically frustrating implication:

$\mathsf{ATP} \Rightarrow \mathsf{CTP} \Rightarrow \mathsf{BTP}$

where the *antichain tree property* or *ATP* is another candidate for ?TP, introduced by Ahn and Kim.

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Forcing with model-theoretic trees

What's special about heir-coheirs?

If \mathcal{U} is an *M*-heir-coheir and *B* is some configuration of realizations of \mathcal{U} over *M*, then we can find a clone *B'* of *B* with the property that every element of *B'* realizes \mathcal{U} over $M \cup B$.

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Forcing

Finding coheirs over models is trivial, but finding heir-coheirs can be hard.

The standard approach is this:

Fact

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There are many heir-coheirs over $(\mathbb{Q}, <)$ (any non-realized cut). Is this generalizable?

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Proof sketch.

With a finite approximation $\psi(x)$ of the type we are building generically, look to see if there is a *b* in the monster such that $\psi(x) \wedge \varphi(x, b)$ has infinitely many realizations in *M*.

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Miniaturizing the saturation argument

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That proof is a forcing argument: We have a set of conditions that we need to satisfy and we are free to satisfy them generically. The comb tree property (even on $2^{<\omega}$ rather than $\omega^{<\omega}$) gives you precisely what you need to generically build an heir-coheir \mathcal{U} that is 'shadowed' by a coheir \mathcal{V} such that the given formula divides along \mathcal{V} but not along \mathcal{U} .

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Proof.

Assume X is not dense above σ , then there is a τ extending σ such that X contains no elements extending τ . But then since $X \cup Y$ is dense above σ , it is also dense above τ , whereby Y is dense above τ .

Suppose we have a CTP tree $(b_{\sigma})_{\sigma \in 2^{\leq \omega}}$ (for the formula $\varphi(x, y)$) in a mildly saturated countable model M.

• For each *i*, σ_{i+1} extends $\sigma_i \frown 1$.

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- If $\psi(x, c)$ is an *M*-formula (with *c* in the monster) such that $\{b_{\sigma} : \psi(b_{\sigma}, c)\}$ has somewhere dense intersection with every element of \mathcal{F} , then there is a $d \in M$ such that $\{b_{\sigma} : \psi(b_{\sigma}, d)\} \in \mathcal{F}$.

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(Draw on chalkboard.)

Thank you

Forcing with comb trees II

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construction, $\varphi(x, y)$ will divide along \mathcal{V} .

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Forcing with comb trees III

