# Independence in arbitrary theories via automorphism groups and large cardinals

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# Something for nothing: Independence in arbitrary theories

In tame contexts: Independence notion  $\Rightarrow$  Generic sequences

■ Stable and simple: Non-forking ⇒ Morley sequences

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#### Theorem (essentially Adler?)

 $(T \text{ simple}) (b_i)_{i \in I}$  is a Morley sequence over A iff it is a total  $\bigcup^b$ -Morley sequence over A.

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 $(b_i)_{i<\omega}$  is a total  $\bigcup_{b^u}$ -Morley sequence over A iff it is based on  $bdd^u(A)$  (i.e.  $I \equiv_A^L b_{<\omega} \Leftrightarrow I \approx_A b_{<\omega}$ ).

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#### Proof.

Use the third thing I skipped for time (see slides 20-21).



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Horrible indiscernible tree combinatorics à la Kaplan-Ramsey.



## Corollary: Relationship with non-dividing

There is a 'chain condition': If  $(b_i)_{i<\omega}$  is a  $\bigcup_{b=0}^{bu}$ -Morley sequence over A that is Ac-indiscernible, then  $c \bigcup_{a=0}^{bu} b_0$ .

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$$\downarrow^d \Rightarrow \downarrow^{bu}$$

#### Corollary of Corollary

In a simple theory,  $(b_i)_{i<\omega}$  is a Morley sequence over A if and only if it is a total  $\bigcup_{i=0}^{bu}$ -Morley sequence over A.

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- Converse?
- Odd observation: In stable theories, you get a ' $\sim_A$ -distance' of 2. In simple theories, you get 3. And in NSOP<sub>1</sub> theories, you get 4.

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- Does this actually need large cardinals?
- Without any set theoretic hypotheses, we can get a half-infinite approximation: Sequence  $(b_i)_{i<\omega}$  such that  $b_{< i} \bigcup_A^{\mathsf{bu}} b_{\geq i}$  for each  $i<\omega$ .

# **Applications**

## Strong witnesses of Lascar strong type

Fix A and b and suppose there is a total  $\bigcup^{bu}$ -Morley sequence  $I \ni b$ . For any b' with  $b' \equiv^{\mathbf{L}}_A b$ , we have the configuration

with  $I_0 = I$ ,  $b' \in I_n$ , and  $I_i + J_{i+1}$  and  $I_{i+2} + J_{i+1}$  A-indiscernible for all i.

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$$I_0 \xrightarrow{b} \vdots \qquad \qquad J_1$$
 $I_2 \xrightarrow{} J_3$ 
 $I_4 \xrightarrow{} \vdots \qquad \qquad J_{n-1}$ 

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This is similar to a configuration in the proof of the independence theorem.

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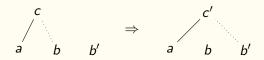
(T nice, maybe) Let  $\Sigma(x)$  be an A-invariant partial type satisfying a chain condition. Assume that  $c \models \Sigma \upharpoonright Aab$  and  $b \equiv_A^L b'$  and that a, b, and b' are sufficiently independent of one another. Then there exists a  $c' \models \Sigma \upharpoonright Aab'$  such that  $ac' \equiv_A ac$  and  $b'c' \equiv_A bc$ .



Variants of the independence theorem can generally be phrased like this:

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 $\Sigma(x)$  is often a *generically prime* filter: If  $(b_i)_{i<\omega}$  is A-indiscernible and  $\Sigma(x) \vdash \varphi(x, b_0) \lor \varphi(x, b_1)$ , then  $\Sigma(x) \vdash \varphi(x, b_0)$ .

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### Proposition (H.)

Let  $\Sigma(x)$  be A-invariant and generically prime over A. For any a, I, I', and c, if

- $I \equiv_A^L I'$  are total  $\bigcup_{bu}$ -Morley sequences over A,
- $c \models \Sigma \upharpoonright Aab$  for all  $b \in I$ , and
- $|I|, |I'| > 2^{|Aabc|+|T|},$

then there are  $b \in I$ ,  $b' \in I'$ , and  $c' \models \Sigma \upharpoonright Aab'$  such that  $ac' \equiv_A ac$  and  $b'c' \equiv_A bc$ .

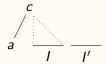
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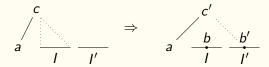
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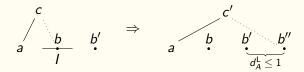


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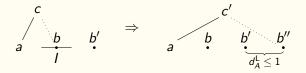


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Can we weaken the generic primality requirement?

# Thank you

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- (Walking) For any  $b' \equiv_A^L b$ , we have the configuration

$$b_0 \equiv_{Ac_1}^{\mathsf{L}} b_2 \equiv_{Ac_3}^{\mathsf{L}} b_4 \equiv_{Ac_5}^{\mathsf{L}} \cdots b_{n-2} \equiv_{Ac_{n-1}}^{\mathsf{L}} b_n$$

$$c_1 \equiv^{\mathsf{L}}_{Ab_2} c_3 \equiv^{\mathsf{L}}_{Ab_4} c_5 \equiv^{\mathsf{L}}_{Ab_6} \cdots c_{n-1}$$

where  $b_0 = b$ ,  $c_1 = c$ , and  $b_n = b'$ .

## What are total $\bigcup_{bu}$ -Morley sequences? II

Canonical witnessing configuration:  $I \approx_A J$  if and only if we have

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### Theorem (H.)

 $(b_i)_{i<\omega}$  is a total  $\bigcup_{b^u}$ -Morley sequence over A iff it is based on  $bdd^u(A)$  (i.e.  $I \equiv_A^L b_{<\omega} \Leftrightarrow I \approx_A b_{<\omega}$ ).

Note:  $I \equiv_{\mathsf{bdd}^{\mathsf{u}}(A)} J$  iff  $I \equiv_A^{\mathsf{L}} J$ .

There is a 'chain condition': If  $(b_i)_{i<\omega}$  is a  $\bigcup_{b=0}^{bu}$ -Morley sequence over A that is Ac-indiscernible, then  $c\bigcup_{a=0}^{bu}b_0$ .

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### Corollary of Corollary

In a simple theory,  $(b_i)_{i<\omega}$  is a Morley sequence over A if and only if it is a total  $\bigcup_{i=0}^{bu}$ -Morley sequence over A.